

11/14/01

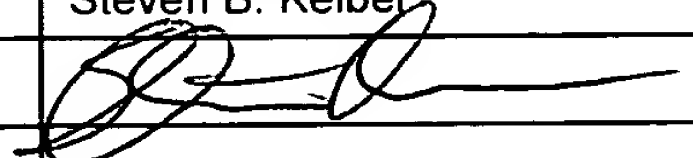
jc806 U.S. PTO

jc879 U.S. PTO

11/14/01

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	5988-035-27
	First Inventor or Application Identifier	Kazuo KAWAGUCHI
	Title	ANTI-REFLECTION COATING FORMING COMPOSITION


<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents</i>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p><input type="checkbox"/> Applicant claims small entity status.</p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages <b>32</b></p> <p>3. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <b>  </b></p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <b>3</b></p> <p>a. <input checked="" type="checkbox"/> Newly executed (original)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed)</p> <p>5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<b>ACCOMPANYING DOCUMENTS</b> <p>6. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>8. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>10. <input type="checkbox"/> Preliminary Amendment</p> <p>11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p> <p>12. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>13. <input checked="" type="checkbox"/> Request for Priority</p> <p>14. <input type="checkbox"/> List of Inventors' Names and Addresses</p> <p>15. <input checked="" type="checkbox"/> Other: Check in the amount of \$780.00</p>
<p>16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: Prior application information: Examiner: Group Art Unit:</p>	
<p>17. Amend the specification by inserting before the first line the sentence:</p> <p><input checked="" type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. Filed on</p> <p><input checked="" type="checkbox"/> This application claims priority of provisional application Serial No. Filed</p>	
<b>18. CORRESPONDENCE ADDRESS</b> Supervisor, Patent Prosecution Services PIPER MARBURY RUDNICK & WOLFE LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085	

Name	Steven B. Kelber	Registration No.	30,073		
Signature		Date	November 14, 2001	Telephone	202-861-3900
Name		Registration No.			

<h1 style="margin: 0;">FEE TRANSMITTAL</h1>					Docket No.		5988-035-27			
					Serial No.		NEW PATENT APPLICATION			
					Filing Date		HEREWITH			
					Inventor(s)		Kazuo KAWAGUCHI et al.			
					Group Art Unit					
TOTAL AMOUNT OF PAYMENT					\$780.00		Examiner			

1. <input type="checkbox"/> Applicant claims small entity status.  <input checked="" type="checkbox"/> Charge any <b>UNDERPAYMENT</b> or credit any <b>OVERPAYMENT</b> in the indicated fees to Deposit Account No. 50-1442.  <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.						<b>FEE CALCULATION (continued)</b>									
						<b>3. ADDITIONAL FEES</b>									
						Large Entity		Small Entity		Fee Description					
						Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid				
<b>FEE CALCULATION</b>						105	130	205	65	Surcharge-late filing fee or oath					
<b>1. BASIC FILING FEE</b>						127	50	227	25	Surcharge-late provisional filing fee or cover sheet					
Large Entity		Small Entity		Fee Description		139	130	139	130	Non-English specification					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Paid		147	2520	147	2520	Ex parte reexam. fee					
101	740	201	370	Utility filing fee		740.00		115	110	215	55				
106	330	206	165	Design filing fee				116	400	216	200				
107	510	207	255	Plant filing fee				117	920	217	460				
108	740	208	370	Reissue filing fee				118	1440	218	720				
114	160	214	80	Provisional filing fee				128	1960	228	980				
<b>SUBTOTAL (1)</b>							\$740.00	119	320	219	160				
<b>2. EXTRA CLAIM FEES</b>						120	320	220	160	Appeal Brief					
tot. claims		12	-	20*	= 0	x	\$18	=	0	121	280	221	140	Request for Oral Hearing	
ind. claims		1	-	3*	= 0	x	\$84	=	0	142	1280	242	640	Utility/Reissue Issue Fee	
<input type="checkbox"/> Multiple Dependent Claims						\$280	=			143	460	243	230	Design Issue Fee	
Large Entity		Small Entity		Fee Description		144	620	244	310	Plant Issue Fee					
Fee Code	Fee (\$)	Fee Code	Fee (\$)			122	130	122	130	Petitions to the Commissioner					
103	18	203	9	Claims in excess of 20		126	180	126	180	IDS Submission					
102	84	202	42	Independent claims in excess of 3		581	40	581	40	Assignment	40.00				
104	280	204	140	Multiple dependent claim, if not paid		179	740	279	370	For Filing RCE					
109	84	209	42	*Reissue independent claims over original patent		169	900	169	900	Expedited Design					
110	18	210	9	*Reissue claims in excess of 20 and over original patent		OTHER (indicate below):									
<b>SUBTOTAL (2)</b>							\$0.00								
* or number previously paid, if greater; For Reissues, see above						<b>SUBTOTAL (3)</b>						\$40.00			

Name		Steven B. Kelber		Registration No.		30,073	
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